

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: **Levinson, Weiner, Calarota, Otero**Serial No.: **10/518,701**Group Art Unit: **To Be Determined**Filing Date: **Herewith**Examiner: **To Be Determined**For: **Vaccines for Suppressing IgE-Mediated Allergic Disease and Methods for Using the Same**EXPRESS MAIL LABEL NO: EV514684927US
DATE OF DEPOSIT: June 17, 2005Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and in accordance with 37 C.F.R. §§ 1.97 and 1.98, information relating to the above-identified application is hereby disclosed, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO Forms SB/08A and SB/08B, formerly known as PTO Form 1449 submitted herewith.

Inclusion of the information submitted herewith is not to be construed as an admission that the information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the patent application.
- ☐ within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application.
- ☒ **before** the mailing date of a first Office Action on the merits.
- ☐ **after** the mailing date of a first Office Action on the merits, but before the mailing date of a Final Office Action under 37 C.F.R. § 1.116 or a Notice of Allowance under 37 C.F.R. § 1.311, and accordingly is accompanied by:

- ☐ the Statement under 37 C.F.R. § 1.97(e) (see "Statement" below);
- or**
- ☐ the Fee of \$180.00 set forth in 37 C.F.R. § 1.17(p); or
- ☐ No fee is owed by the applicant(s).
- ☐ In accordance with 37 C.F.R. § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, and accordingly is accompanied by the Statement under 37 C.F.R. § 1.97(e) (see "Statement" below) and the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p), is attached.
- ☐ **after** the mailing date of a Final Office Action under 37 C.F.R. § 1.116 or a Notice of Allowance under 37 C.F.R. § 1.311, but before the payment of the Issue Fee, and accordingly is accompanied by the Statement under 37 C.F.R. § 1.97(e), (see "Statement," and "Fees" below).
- ☒ Copies of the references listed on the attached PTO Forms SB/08a and SB/08b (except the U.S. Patent Documents), formerly known as PTO Form 1449 are enclosed. Also enclosed is a copy of International Search Report dated February 25, 2005 for International Application No. PCT/US03/19383.

EXCEPT THAT:

- ☐ In view of the voluminous nature of reference @@, and the likelihood that this reference is available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with 37 C.F.R. § 1.98(d), copies of the following references listed on the attached PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Statement under 37 C.F.R. § 1.97(e)

- ☐ The undersigned attorney hereby states that each item information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

Fees

- ☒ No Fee is owed by the applicant(s).
- ☐ The Information Disclosure Statement Fee of \$180.00 under 37 C.F.R. § 1.17(p) is enclosed herewith.

Method of Payment of Fees

- ☐ Attached is a check in the amount of \$_____. This form is submitted in duplicate.
- ☐ Charge Deposit Account No. 50-1275 in the amount of \$180.00. This form is submitted in duplicate.
- ☒ Please charge any deficiency or credit any overpayment to Deposit Account 50-1275.
- ☐ No fee or Statement is required under 37 C.F.R. § 1.97(b).

Respectfully submitted,



Mark DeLuca
Registration No. 33,229

Dated: June 17, 2005
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/518,701
Filing Date	Herewith
First Named Inventor	Arnold I. Levinson
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	UPN0030-100 (O-2725)

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication/Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US-5,593,972	01-04-1997	Weiner et al.	
	AB	US-5,739,118	04-14-1998	Carrano et al.	
	AC	US-5,817,637	10-06-1998	Weiner et al.	
	AD	US-5,830,876	11-03-1998	Weiner et al.	
	AE	US-5,962,428	10-05-1999	Carrano et al.	
	AF	US-5,981,505	11-09-1999	Weiner et al.	
	AG	US-5,580,859	12-03-1996	Felgner et al.	
	AH	US-5,703,055	12-30-1997	Felgner et al.	
	AI	US-5,676,494	10-14-1997	Ruch et al.	
	AJ	US-4,945,050	07-31-1990	Sanford et al.	
	AK	US-5,036,006	07-30-1991	Sanford et al.	
	AL	US-08/008,342	01-26-1993	Weiner et al.	
	AM	US-08/029,336	03-11-1993	Weiner et al.	
	AN	US-6,622,468	09-23-2003	Lucand et al.	
	AO	US-5,037,645	08-06-1991	Strahilevitz et al.	
	AP	US-5,112,606	05-12-1992	Shiosaka et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date/Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	AQ	WO 94/16737	08-04-1994	Univ of Penn.		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3

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		Number - Kind Code ² (if known)			
	AP	US-4,526,716	07-02-1985	Stevens et al.	
	AQ	US-4,329,281	05-11-1982	Christenson et al.	
	AR	US-4,022,878	05-10-1977	Gross	
	AS	US-5,091,313	02-25-1992	Tse-Wen et al.	
	AT	US-6,080,725	06-27-2000	Marciani et al.	
	AU	US-5,750,395	05-12-1998	Fikes et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	10/518,701
Filing Date	Herewith
First Named Inventor	Arnold I. Levinson
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	UPN0030-100 (O-2725)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AV	Berd et al., "Immunization with haptenized, autologous tumor cells induces inflammation of human melanoma metastases," <i>Cancer Research</i> (1991) 51:2731-2734.	
	AW	Francis et al., "Peptide Vaccines Based on Enhanced Immunogenicity of Peptide Epitopes Presented with T-Cell Determinants or Hepatitis B Core Protein," <i>Methods of Enzymol.</i> (1989) 178:659-676.	
	AX	MacLean et al., "Immunization of breast cancer patients using a synthetic sialyl-Tn glycoconjugate plus Detox adjuvant," <i>Cancer Immunol. Immunother.</i> 36:215-222.	
	AY	Miller et al., "The Induction of Hapten-Specific T Cell Tolerance By Using Hapten-Modified Lymphoid Cells," <i>J. Immunol.</i> (1976) 117(5:1):1591-1596.	
	AZ	Sad et al., "Bypass of carrier-induced epitope-specific suppression using a T-helper epitope," <i>Immunology</i> (1992) 76:599-603.	
	BA	International Search Report dated February 25, 2005 for International Application No. PCT/US03/19383.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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